

CLASS B UNITS (CHF)

Globalance Sokrates Fund

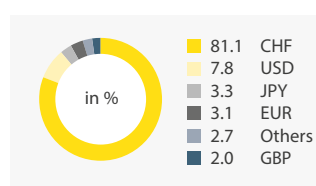
Fears of a possible collapse of the Chinese real estate giant Evergrande, persistently high inflation rates and the beginning of a scaling back of the expansionary monetary policy in the US caused prices to fall on the global stock markets. The Sokrates Fund was not immune from these developments, either. Although real estate investments withstood the substantial volatility, the negative performance contribution of equities, bonds and low-correlated assets led to negative returns in the month under review. Nevertheless, the fund has seen an increase in value of 3.7% since the beginning of the year.

Statistics (Performance and Risk)

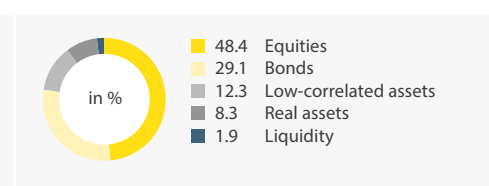
Performance month of September	-2.9 %
Performance year to date	3.7 %
Annualized performance <small>since 01.01.2012</small>	2.8 %
Annualized volatility	6.2 %
Sharpe Ratio	0.5

Portfolio Structure

CURRENCIES¹



PORTFOLIO STRUCTURE BY ASSET CLASS

¹after hedging

Fund Details*

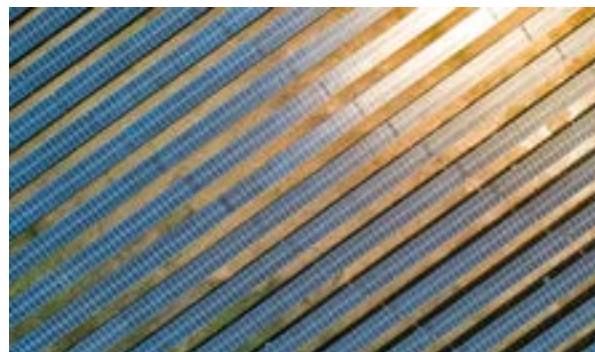
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Accounting currency	CHF
Net asset value per unit <small>as of 30.09.2021 (NAV)</small>	CHF 117.7
Fund assets	CHF 74.8 M
Distribution form	Accumulating
Security number	12355707
ISIN	LU0585393332
Security identifier	A1H6U3
Bloomberg ticker	SOKRATB LX

FUND DETAILS

Legal form	SICAV under Luxembourg law
Domicile	Luxembourg
Management and distribution fee	1.6%
Subscriptions and redemptions	Each trading day, at all distribution and payment agents
Permitted for distribution	Switzerland, Luxembourg and Germany
Payment agent in Switzerland	Credit Suisse (Switzerland) AG
Representative	Acolin Fund Services AG, Zurich
Custodian bank	Credit Suisse (Luxembourg) AG
Investment advisor	Globalance Bank AG
Portfolio manager	Christoph Blättler, Globalance Bank AG
Contact	info@globalance.com

*The fund was authorized by the FINMA in Switzerland and by the BaFin in Germany. As of January 2015, the fund complies with the provisions of the Swiss Ordinance on Occupational, Old-age, Survivors' and Disability Insurance (BVV2).



Investment Strategy

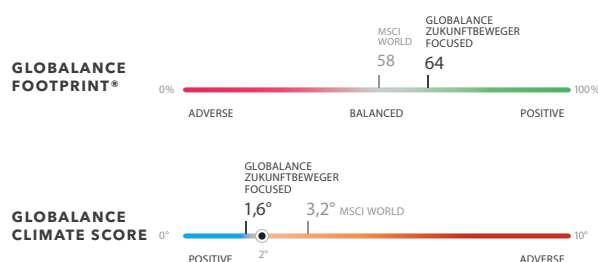
In keeping with the provisions of BVV2, Sokrates invests in a dynamically managed, broadly diversified combination of asset classes: equities, bonds, «hard assets» such as real estate, as well as in low-correlation investments like insurance-linked securities and options-writing strategies.

This approach enables us to weight the various asset classes depending on the given circumstances and our overall assessment of the markets. On that basis, the fund invests in individual positions or baskets of securities with a positive Footprint.

The attractiveness for investors lies in the broad diversification of the asset classes, the market potential of future-oriented investment themes, and the positive Footprint of the underlying entities.

5 Reasons to Invest in Globalance Sokrates Fund

- + Dynamic building blocks for your retirement savings and/or foundation assets
- + The world's first investment fund with a «Footprint Report» that reveals the effect on economy, environment and society
- + Investment in long-term, sustainability megatrends
- + Dynamic adjustment of asset allocation enhances total return
- + Independent selection of the most talented and successful external managers of interesting investment vehicles



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