

CLASS I UNITS (CHF)

Globalance Sokrates Fund

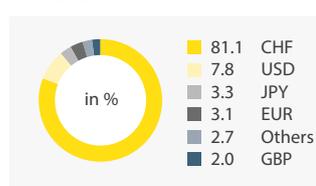
Fears of a possible collapse of the Chinese real estate giant Evergrande, persistently high inflation rates and the beginning of a scaling back of the expansionary monetary policy in the US caused prices to fall on the global stock markets. The Sokrates Fund was not immune from these developments, either. Although real estate investments withstood the substantial volatility, the negative performance contribution of equities, bonds and low-correlated assets led to negative returns in the month under review. Nevertheless, the fund has seen an increase in value of 4.3% since the beginning of the year.

Statistics (Performance and Risk)

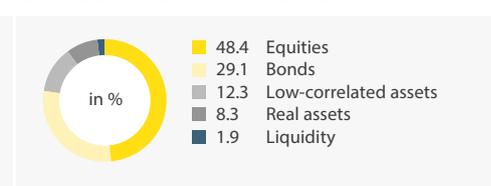
| | |
|--|---------------|
| Performance month of September | -2.9 % |
| Performance year to date | 4.3 % |
| Annualized performance <small>since 01.01.2012</small> | 3.7 % |
| Annualized volatility | 6.5 % |
| Sharpe Ratio | 0.6 |

Portfolio Structure

CURRENCIES



PORTFOLIO STRUCTURE BY ASSET CLASS



Fund Details*

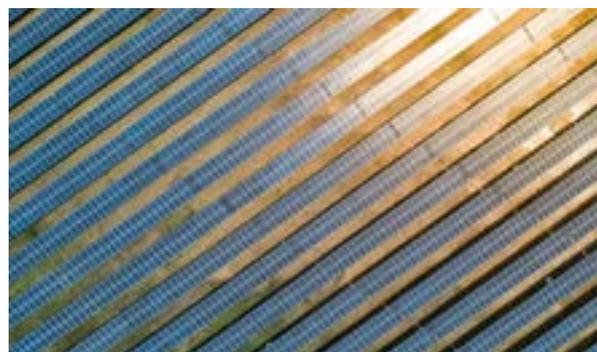
CLASS I UNITS (CHF)

| | |
|--|------------------------------|
| Circle of investors | Unit holdings > CHF 0.1 Mio. |
| Accounting currency | CHF |
| Net asset value per unit <small>as of 30.09.2021 (NAV)</small> | CHF 128.1 |
| Fund assets | CHF 74.8 M |
| Distribution form | Accumulating |
| Security number | 12355726 |
| ISIN | LU0585393688 |
| Security identifier | A1H6U4 |
| Bloomberg ticker | SOKRATI LX |

FUND DETAILS

| | |
|---------------------------------|--|
| Legal form | SICAV under Luxembourg law |
| Domicile | Luxembourg |
| Management and distribution fee | 0.8% |
| Subscriptions and redemptions | Each trading day, at all distribution and payment agents |
| Permitted for distribution | Switzerland, Luxembourg and Germany |
| Payment agent in Switzerland | Credit Suisse (Switzerland) AG |
| Representative | Acolin Fund Services AG, Zurich |
| Custodian bank | Credit Suisse (Luxembourg) AG |
| Investment advisor | Globalance Bank AG |
| Portfolio manager | Christoph Blättler, Globalance Bank AG |
| Contact | info@globalance.com |

*The fund was authorized by the FINMA in Switzerland and by the BaFin in Germany. As of January 2015, the fund complies with the provisions of the Swiss Ordinance on Occupational, Old-age, Survivors' and Disability Insurance (BVV2).



Investment Strategy

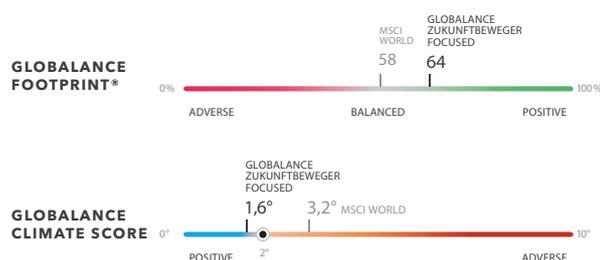
In keeping with the provisions of BVV2, Sokrates invests in a dynamically managed, broadly diversified combination of asset classes: equities, bonds, «hard assets» such as real estate, as well as in low-correlation investments like insurance-linked securities and options-writing strategies.

This approach enables us to weight the various asset classes depending on the given circumstances and our overall assessment of the markets. On that basis, the fund invests in individual positions or baskets of securities with a positive Footprint.

The attractiveness for investors lies in the broad diversification of the asset classes, the market potential of future-oriented investment themes, and the positive Footprint of the underlying entities.

5 Reasons to Invest in Globalance Sokrates Fund

- + Dynamic building blocks for your retirement savings and/or foundation assets
- + The world's first investment fund with a «Footprint Report» that reveals the effect on economy, environment and society
- + Investment in long-term, sustainability megatrends
- + Dynamic adjustment of asset allocation enhances total return
- + Independent selection of the most talented and successful external managers of interesting investment vehicles



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