

Media Release

Zurich, February 2nd, 2021

2020 an exceptionally successful business year: Significant increase in client assets

Above-average, positive investment performance and further increase in client assets by 47%

Globalance, founded ten years ago, is a pioneer in the field of sustainable and future-oriented investments, which benefited from its leading market position in the business year 2020. The client assets under management increased by 47%. Globalance recognised the considerably increasing client requirements in the private banking market for sustainable, meaningful investments early on and substantially increased the managed assets through various innovations. The bank and its clients' requirements contribute to overcoming global challenges and support the transformation of the economic system towards climate neutrality.

Through continuous innovation, Globalance is excellently positioned in the market for sustainable investments. The continuous growth of client assets under management since the bank's inception could accordingly be further expedited in 2020, and, accompanied by an exceptionally good investment performance, substantially increased by 47%. Globalance was once again awarded as the best Swiss asset management bank by the German testing institute "Fuchsbriefe".

Very high growth in all areas

More than three-quarters of the 47% increase in client assets under management was attributable to net new money and just under a quarter to performance. Globalance was already able to increase its assets under management by 50% in 2019, which means they have risen uninterrupted every year since the bank was founded. This momentum continued in January 2021.

In total, Globalance managed CHF 1.5 billion in client assets as at 31 December 2020, primarily for private clients, families and foundations. Of this, 76% was placed by clients as discretionary mandates, which is well above the industry average.

The assets managed by Globalance for other banks and asset managers increased by 90% to CHF 205 million.

Above-average investment performance

The performance of investment mandates ranked in the first quartile of comparable banks and asset managers in all risk classes (conservative, balanced, dynamic) in 2020 and led to a real increase in the value of the entrusted investment funds. This above-average performance resulted in particular from the resilience of the Globalance investment strategy with higher risks during the months April to December 2020. The flagship equity fund, the Globalance Zukunftbeweger fund, which invests in listed pioneering, sustainable companies, increased by 40.9% in 2020 (Basis Reference Currency USD).

These results once again demonstrated the stability and robustness of Globalance's investment process, even during a challenging market period.

Solid balance sheet

Globalance is solidly capitalized: As of December 31, 2020, the liquidity coverage ratio was 798%. This compares favorably with the minimum ratio of 110% required by the Swiss banking regulator FINMA for banks in categories 4 or 5. The leverage ratio was 43.2% at the end of the year, well above the regulatory requirement of 8%.

Continuous innovative strength

Globalance launched a world first in November 2020 in the form of a digital and interactive globe for sustainable investments. At www.globalanceworld.com, investors and interested parties worldwide have free access to analyse and assess the future viability and sustainability of currently more than 6,000 listed companies and selected stock indices with regard to ESG, climate change and megatrends.

Globalance is also breaking new ground in investment reporting and client interaction and is the world's first bank to inform every single client with personalised year-end reporting in the form of a magazine with comprehensive content on the individual portfolio, climate risks, sustainability issues and relevant megatrends.

Award for best Swiss bank for investments

Globalance was already named the best Swiss bank in private banking by the Swiss business magazine BILANZ in 2019. In November 2020, it was named best Swiss bank for investments by the renowned German testing institute "Fuchsbriefe".

Felix R. Ehrat, Chairman of the Board of Directors:

"Globalance is excellently positioned strategically after years of development work. With its focus on sustainable, pioneering and value-adding investment solutions, it meets the needs of a rapidly growing number of investment clients for meaningfulness, climate neutrality and consideration of social and economic megatrends. Its innovative strength will also allow it to advance into and expand related new business areas in the future."

Reto Ringger, Founder and CEO:

"Our exceptionally good results in the challenging year 2020 are the result of clear positioning, strong investment performance and a corporate culture characterised by innovation. As a pioneer and innovator in the field of future-proof investments, we will continue to impress our customers with quality, growth and innovation in the years to come."

For further information

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About Globalance

Globalance is an owner-managed Swiss private bank investing in pioneering sectors that have a positive footprint. These are investments and companies which respond successfully to worldwide megatrends and benefit from them. The Globalance Footprint® methodology makes Globalance the first bank in the world to show the impact that capital has on the economy, society and the environment. In November 2020, Globalance launched Globalance World, a world first in private banking in the form of a digital and interactive globe for sustainable investments. This is a radical innovation, making investments simpler, clearer and more experiential for clients.

Globalance advises private clients, families and foundations on how they can invest with more future orientation. The bank is managed by its founders.

Further information is available at: www.globalance.com

Disclaimer:

References to past performance measures, in particular investment performance, are not a guarantee of comparable performance measures in the future. Future investment performance in particular is inherently volatile and cannot be reliably predicted. The revised figures of Globalance Bank AG will be published on 30 April 2021.